

RESIDENTIAL FIRST MORTGAGE NOTIFICATION FORM

STATE OF UTAH Department of Financial Institutions

324 South State Street, Suite 201, SLC, UT 84111

Mailing Address: P.O. Box 146800, Salt Lake City, UT 84114-6800

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Name and Mailing Address	Do Not Write In This Space
1)	

If you (1) **service** residential 1st mortgage loans, on properties in Utah, or if you are (2) a depository institution or an affiliate of a **depository** institution and you **broker, or lend** on closed end residential first mortgage loans on Utah properties, you are subject to the Mortgage Lending and Servicing Act, Title 70D of the Utah Code, and you should file this notification.

The following are **exempt from filing this notification**, but otherwise subject to the provisions of the act:

- All parties authorized under Utah or federal law to do business as a depository institution **in Utah**.
- All wholly-owned subsidiaries of such depository institutions.
- All non-depository institution brokers and lenders that are registered with the Utah Division of **Real Estate** under Title 61-2c, Utah Residential Mortgage Practices Act (with the exception of servicers – who are still required to file this notification).

MORTGAGE NOTIFICATION FEE

If this is your **initial** notification, please include the initial fee of \$200, and check here _____. Notification should be filed with the Department of Financial Institutions at least 30 days before commencing business in Utah.

If this is a **renewal**, include \$100 and check here _____. Renewals are due on or before January 31 of each year.

Complete all information below and your mailing address in box 1 above, and return the signed form to the Department of Financial Institutions at the above address with the applicable fee in the form of a check or money order made payable to: Department of Financial Institutions.

- Name of mortgage lender, broker, or servicer _____
- Name under which you are registered with the Utah Division of Corporations _____
- Address of principal business office _____
City _____ State _____ Zip _____ Telephone _____
- Addresses of all branch offices in Utah; attach separate sheet if necessary _____

- Name, address, and phone number of contact person responsible for resolution of complaints _____
City _____ State _____ Zip _____ Telephone _____
- Name and address in Utah of registered agent upon whom service of process can be made _____

Questions 8-11 apply to Utah closed end residential first mortgage loans only:

- Are you an affiliate of a depository? Yes _____ No _____
If so, name of your affiliated depository _____
What percent of your business do they own? _____
(If you are not a depository, or affiliate of a depository; and you are not a servicer, you probably do not need to file this notification).
- Are you a mortgage loan **servicer** who in the regular course of business assumes responsibility for servicing and accepting payments for closed-end residential first mortgages? Yes _____ No _____
- Are you a depository or affiliate **lender**, who **funds** closed-end residential first mortgages? Yes _____ No _____
- Are you a depository or affiliate **broker**, who assists persons in obtaining closed-end residential first mortgage loans (funded by other entities) for a fee or other consideration, paid indirectly or directly? Yes _____ No _____

I hereby certify that the information provided in this form is true and correct.

Print or type:

Date _____ Signature _____

Name _____

Title _____